## M.Tech. (C.S.) Dissertation Series

# Safe Encoding: Making the encoded text of an instantaneous code as random as possible

A dissertation submitted towards partial fulfilment of the requirements for the M.Tech (Computer Science) degree of

## Indian Statistical Institute

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## Certificate of Approval

This is to certify that the thesis entitled Safe Encoding: Making the encode text of an instantaneous code as random as possible submitted by Nagendar Gouru, towards partial fulfilment of the requirement for M.Tech. in Computer Science degree of the Indian Statistical Institute, Calcutta, is an acceptable work for the award of the degree.

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#### Abstract

We know from information theory that the channel capacity is achieved when the input events are statistically independent and have the same probability distribution and the channel capacity is the maximum amount of information we can send through the channel. Here a padding algorithm is designed which takes any plain text (i.e. message) as input and outputs the corresponding encoding scheme whose encoded text will have the frequencies of strings "00", "01", "10", "11" close together. This makes the encoded text close to random, since English language can be considered to be a Markov Process of order 1. This also protects against cipher text only attack by transmitting symbols randomly giving almost zero information to the eavesdropper. Without loss of generality, Huffman code is taken as the coding scheme in initial steps to the algorithm. Since the minimum variance code ensures less degradation of the coding scheme in presence of noise, the least skewed Huffman tree is considered. About the closeness bound of the frequencies of strings "00", "01", "10", "11" is discussed.

# Safe Encoding: Making the encoded text of an instantaneous code as random as possible

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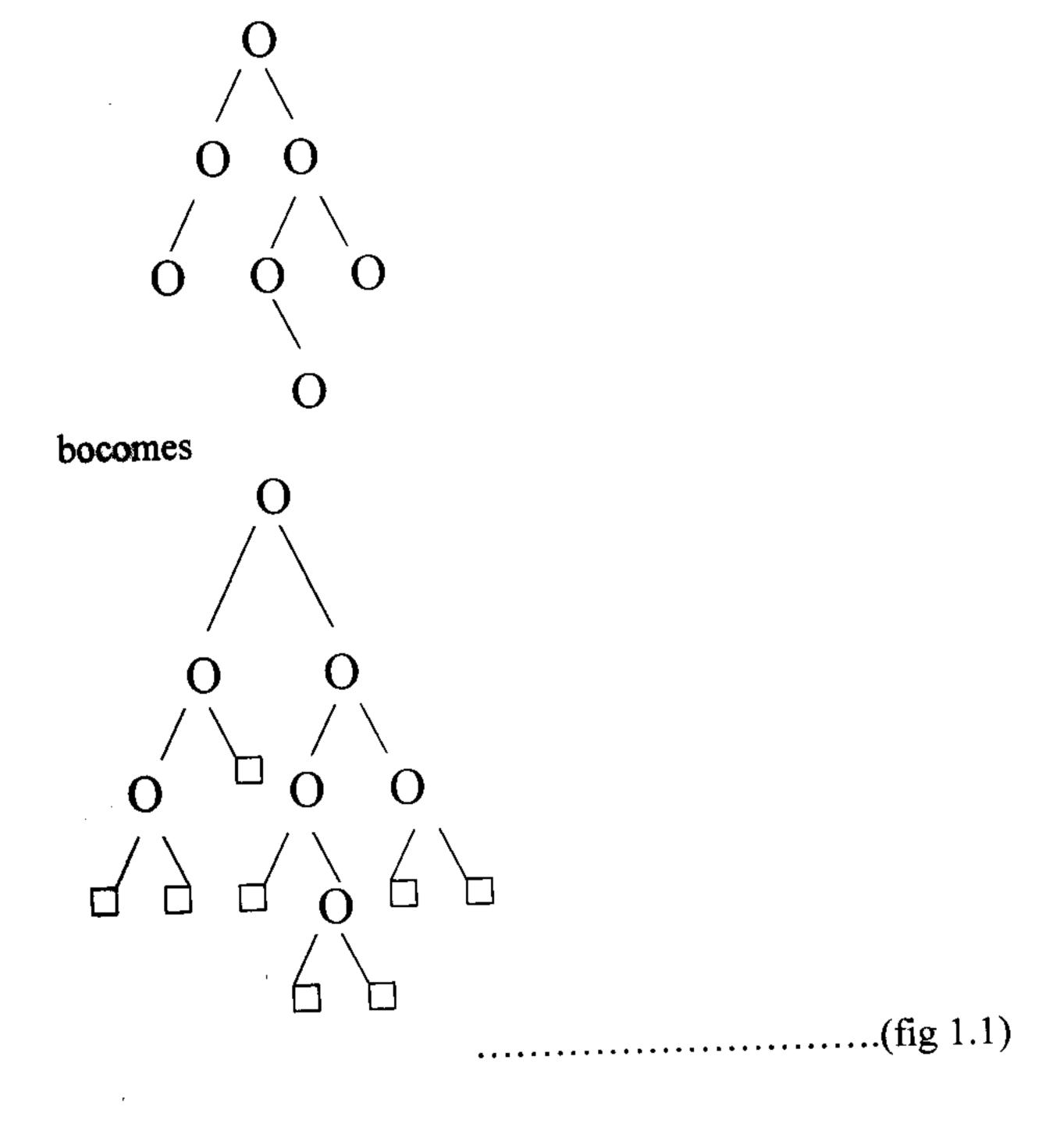
## Chapter 1

## Introduction

First we will try to get the least skewed Huffman tree. Then we will discuss the necessity to design a padding algorithm in detail. The results that are needed in our work are also provided.

## 1.1 Path length and Huffman tree

Let us extend each binary tree diagram by adding special nodes wherever a null sub tree was present in the original tree, so that



The latter is called an extended binary tree. After the square shaped nodes have been added in this way, the structure is sometimes more convenient to deal with. It's clear that every circular node has two sons and every square node has none. If there are n circular nodes and s extended nodes, we have n+s-1 edges(since the diagram is a connected acyclic graph), and, counting another way, by the number of sons, we see there are 2n edges. Hence it is clear that

$$S = n+1; \dots (1.2)$$

i.e., the number of "external" nodes just added is one more than the number of "internal" nodes we have originally.

Assume that a binary tree has been extended in this way. The *external* path length of the tree, denoted by E, is defined to be the sum – taken over all external (square) nodes – of the lengths of the paths from the root to each node. The *internal* path length, denoted by I, is the same quantity summed over the internal (circular) nodes.

In fig(1.1) the external path length is E = 3+3+2+3+4+4+3+3 = 25, and the internal path length is I = 2+1+0+2+3+1+2 = 11. These two quantities are always related by the formula

$$E = I+2n,....(1.3)$$

Where n is the number of internal nodes.

To prove formula (1.3), consider deleting an internal node V at a distance k from the root, where both sons of V are external. The quantity E goes down 2 (k+1), since the sons of V are removed, then it goes up k, since V becomes external, so the net change in E is k-2. The net change in I is -k, so (1.3) may be proved by induction.

It is not hard to see that the internal path length(and hence the external path length also) is highest when we have a degenerate tree with linear structure;

in that case the internal path length is

$$(n-1) + (n-2) + ... + 1 + 0 = 0.5(n^2 - n).$$

Consider now the problem of discovering a binary tree with n nodes having minimum path length; such a tree will be important, since it will minimize the computation time for various algorithms. Clearly, only one node (the

root) can be at zero distance from the root; at most two nodes can be at distance 1 from the root, at most four can be 2 away, etc. So, we see that the internal path length is always at least as big as the sum of the first n terms of the series

$$0,1,1,2,2,2,2,3,3,3,3,3,3,3,3,3,4,4,4,4,...$$
This is the sum  $\sum \lfloor \log_2 k \rfloor$ , which is same as  $1 \le k \le n$ 

$$(n+1)q - 2^{(q+1)} + 2$$
, where  $q = \lfloor \log \frac{(m+1)}{2} \rfloor$ .....(1.4)

(Proof follows from the following:

We know that 
$$\sum_{1 \le k \le n} (a_{k+1} - a_k)b_k = a_n b_n - a_1 b_1 - \sum_{k \le n} a_k (b_{k+1} - b_k)$$

Putting 
$$b_n = n$$
, we get 
$$\sum a_k = na_n - \sum k(a_{k+1} - a_k) \quad (n > 0)$$
$$1 \le k \le n \qquad 1 \le k \le n$$

hence the result is just by substitution)

The optimum value (1.4) is essentially of the form n log n; this optimum is clearly achieved in the complete tree with n internal nodes where we may number the nodes 1,2,3...n; this numbering has the useful property that the father of node k is node  $\lfloor k/2 \rfloor$ , the sons of node k are nodes 2k and 2k+1. The external nodes are numbered n+1 through 2n+1, inclusive.

It follows that a complete binary tree may be simply represented in sequential memory locations. These results have an important generalization if we shift our point of view slightly. Suppose that we are given m real numbers  $w_1, w_2, w_3...w_m$ , the problem is to find an extended binary tree with m external nodes, and to associate  $w_1, w_2, w_3...w_m$  with these nodes in such a way that the  $\sum w_j l_j$  is minimised, where  $l_j$  is the length of path from the root and the sum is taken over all external nodes. It is not hard to realise that a perfectly balanced tree does not give the minimum weighted path length when the weights are 2, 3,4, and 11. Although we have seen that it does give the minimum in the special case  $w_1 = w_2 = ...w_m = 1$ .

An elegant algorithm for finding a tree with minimum weighted path length has been given by D. Huffman: First find the two w's of lowest values, say w1 and w2. Then solve the problem for m-1 weights  $w1+w_2...w_m$ , and replace the external node of weight w1+w2 in this solution

by an internal node having two external nodes of weights  $w_1$  and  $w_2$  as its children.

It's not hard to show that this method does in fact minimise the weighted path length by induction on m. Suppose that m≥2 and w1≤ w2 ≤ w34...4wm. And suppose that we are give a tree which minimises the weighted path length. (Such a tree certainly exists, since only finitely many binary trees with m terminal roots are possible) Let B an internal node of maximum distance from root. If w1 and w2 are not the weights already attached to the sons of V, we can interchange them with the values that are already there, and not increase the weighted path length. Thus there is a tree which minimises the weighted path length and which contains the internal note having two external notes weights w1 and w2 as its children. Now it is to see that the weighted path length of a such a tree is minimised iff the tree with the internal node having two external nodes of weights w1 and w2 as minimum path length its children replaced by the external node of weight w1 + w2 has minimum path length for weights w1+w2, w3...wm, since the numbers which appear in the circular notes of an extended binary tree are equal to the sums of the weights in the external nodes of the corresponding sub tree and sum of all values in the circular notes is equal to the weighted path length.

In general there are many trees, which minimise  $\sum w_j l_j$ . If the w's are kept in order throughout the construction and if when w1 and w2 are removed, the quantity w1+w2 is placed higher in the ordering than any of the same value, then the tree constructed by Huffman's method has the smallest value of max lj and of  $\sum l_j$ . Among all trees which minimize  $\sum w_j l_j$ . This can also be accomplished in another way. We need not change the Hoffman algorithm, and suppose that we got a Hoffman tree T. If this tree is unique up to the lengths and sum of the lengths, there is nothing to do. If not, there must exits three nodes of equal weights, one of which is neutral. Now interchange the position, of sub trees rooted. At the internal node and the extern node (in case of ties take one that is closer to the root) of equal weight if it reduces either  $\sum l_j$  or Max lj. Do the above step until no such interchange is possible. This will change T to the least skewed Huffman tree. We can jump into information theory from here after observing the following connective:

Weighted path length = length of encoded text or cipher.

## 1.2 Information theory : Channel and mutual information:

The average code length of an encoding scheme is define to be  $L=\sum p_j l_j$ , where  $l_j$  is the length of the representation of the  $j^{th}$  symbol  $s_j$ . In the notation of a jth order Markov process,  $P(s_i/s_{i1},s_{i1}...s_{ij})$  is the conditional probability of seeing si given that we have just seen all the letters  $s_{i1},s_{i1}...s_{ij}$ , in that order The entropy of a signalling system S having symbols si and probabilities pi is defined as

$$H_r(S) = \sum_{i=1}^q p_i \log_r(1/p_i)$$

Where s1,s2,...sq are the source symbols that are to be sent. And the code's alphabet as is symbols (ere for the radix of the system).

We consider the case r=2.

Of course  $Hr(S)=H_2(S)\log_r(2)$ .

It can be proved from the two facts.

- 1.  $\log(x) \le x-1$ , and
- 2. if xi and yi are two probability distributions (i.e  $\sum x_i = \sum y_i = 1$  and each xi and yi is non-negative) then

$$\sum_{i=1}^{\nu} x_i \log_2(\frac{y^i}{x^i}) \le 0$$

That the entropy bounded above by  $\log_r(q)$  i.e.  $Hr(S) \le \log(q)$ . The relation between the average code length L and the entropies H(S) is given by  $Hr(S) \le L$ .

#### Channel:

An information channel is statistical model of the medium through which the signal processes. We need to formalise this idea in order to compute how much information goes through in this channel. A channel is described set f confinable probabilities P(bj/ai), which are the probabilities that an input ai from an alphabet of q letters will paper as sum bj from an alphabet of s

letters. The sizes of q and s of the alphabets need not be the same. In this model the channel is completely described by the matrix of conditional probabilities

 $P_{ij} = (P(bj/ai)).$ 

A row contains all the probabilities that a particular symbol ai becomes bj. We can define system entropies as follows.

Entropy of information source A is

$$H_{r}(A) = \sum_{i=1}^{q} p(a_i) \log_r(1/p(a_i))$$

The entropy function has the following properties:

- $(1) H_r(A) \ge 0$
- $(2) H_r(A) \le \log_r q$
- (3) $H_r(A) = \log_r q$ , when all the source symbols are equally likely. Similarly the entropy of the received symbols  $H_r(B)$  can be defined as

$$H_r(B) = \sum_{j=1}^{s} p(b_j) \log_r(1/p(b_j))$$

This quantity measures the uncertainty of the output symbols and has the same properties as the entropy of the source.

Similar expressions for conditional entropy given a particular bj, is given by

$$H_r(B) = \sum_{i=1}^{q} p(a_i/b_j) \log_r(1/p(a_i/b_j))$$

we can also define  $H_r(A/B)$  and  $H_r(B/A)$  similarly. To measure the uncertainty of the joint even for both source and receiver, we define the joint entropy in a similar way:

$$H_r(A,B) = \sum_{i=1}^{q} \sum_{j=1}^{s} p(a_i,b_j) \log_r(1/p(a_{i},b_j))$$

It is easy to see that

 $H_r(A,B) = H_r(B) + H_r(A/B).$ 

Consider again the afore mentioned transmission system. The input symbols are the ai and the output symbols are the bj, and the channel is defined by the conditional probabilities  $P_{i,j}$ .

Prior to reception, the probability of the input symbol ai was p(ai). This is a priori probability of ai. After reception of bj, the probability that the input

symbol was ai becomes p(ai/bj), the conditional probability that we sent ai given that we received bj. This is an a posterior probability of ai. The change in probability measures how much the receiver learnt from the reception of bj. In the ideal channel with no noise, the a posterior probability is 1, since we certain from the received bj exactly what was sent. In practical systems there are finite non zero probabilities that errors will occur and the receiver cann't be absolutely sure that what was sent. The difference between the information uncertainty before and after reception of a bj measures gain in information due to the reception of the bj. This information is called the mutual information and is naturally defined as

$$I(a_i;b_j) = \log_r(1/p(a_i)) - \log_r(1/p(a_i/b_j)) = \log_r(p(a_i/b_j))/p(a_i)$$

Here also we can define conditional mutual information I(A; bj) which is the information gain provided by the reception of bj. Finally I(A;B), which is symmetric in the two alphabets, can also be defined naturally to be the measure of the information gain of the whole system and doesn't depend on the individual input and output symbols but only their frequencies; it is called the system mutual information.

We can see that

 $I(A;B) \ge 0$ 

I(A;B) = 0 if and only if A and B are independent

I(A;B) = I(B;A) from symmetry.

 $I(A;B) = H(A) + H(B) - H(A,B) \ge 0$ 

Hence I(A;B) = H(B) - H(B/A) = H(A) - H(A/B).

We define the channel capacity C as the maximum mutual information over all possible assignments of the p(a),

 $C = \max I(A;B)$ 

P(a)

It can be proved that the binary symmetric channel has the channel capacity C provided that the two input symbols are chosen with equal frequency.

Hence we need to design codes, which produces random strings of code's alphabet.

Another main reason for the desire to get random encoded text is to prevent cipher text only attack.

## 1.3 Cipher text only attack.

We assume that the channel we are using is public in the sense that anybody can have access over it partly or fully. In this light, we classify the attempts of an opponent as follows: cipher text only, known plain text, and chosen cipher text in the increasing order of strength. In the cipher text only attack, the opponent possesses a string of cipher text. Since we are making it random, opponent hardly gets any information from that. The crypto analyst is assumed to have full knowledge of the encoding and decoding functions. In addition, he or she may also have a variety of side information such as language statistics, knowledge of context, etc. in cipher text only attack which is the weakest one, opponent will have some cipher text, and doesn't know the key. Perfect secrecy can be realized in one time pad, where the plain text and the key are both bit strings of a specified length, and the cipher text is constructed by making the bit wise ex-or of the plain text and key. But the key must be randomly generated. We are using this idea here by making the encoded text random. Cipher text only attack fails completely if no opponent can predict with better than average success the next bit to be emitted. Since complete randomness is not practical, we would be satisfied if the above prediction is not possible in reasonable time. Since English language is a Markov process of order 1, the encoded text would be sufficiently random if we achieve equal number of 00,01,10,11.

## 2. Padding algorithm

Input:

Plain text (message)

Output:

The code of each source symbol which will make the

frequencies of the strings "00", "01", "10", "11" close together.

### Algorithm:

(Steps 2 to 5 are considered to be Trivial Twisting steps.

Note: In the below steps from step3 to step5 there will be effect on frequencies of strings other than "ab", so in each place where the interchange takes place we have to calculate the frequencies of strings "00", "01", "10", "11".

And also note that in step3, we have to consider the symbols which is coming next to the symbols which are participating in the interchange, in step4, we have to consider the symbols which is coming previous to the symbols which are participating in the interchange, in step5, we have to consider the symbols which are coming next to the symbols and the symbols which are coming previous to the symbols which are participating in the interchange.

(In Steps 6 and 7, which are extension steps, not much calculation is needed)

(In step8 which is also extension step, but here we have to consider the symbols which are coming next to the symbol whose code we are extending and we have to calculate the changes in the frequencies of strings "10"/"11" depends upon the codes of the symbols next to this symbol and codes with first symbol 0/1 and with the help of the conditional probabilities (the number of such pairs.).

In step9 which is also extension step, but here we have to consider the symbols which are coming next to the symbol whose code we are extending and we have to calculate the changes in the frequencies of strings "00"/"01" depends upon the codes of the symbols next to this symbol and codes with first symbol 0/1, and with the help of the conditional probabilities (the number of such pairs).

Step 0: Calculate the frequencies of source alphabet and construct Huffman tree and calculate the frequencies of strings "00", "01", "10", "11" in corresponding cipher text. Repeat steps 1 to 9 until all four strings covered.

Step1: Find the Maximum frequency and minimum frequency among the frequencies of the strings "00", "01", "10", "11". The difference between the Maximum and minimum frequencies is say delta. Say the minimum frequency string is "ab".

Step2: Go for the codes of symbols with same code length and which are having same beginning and ending code symbols and the string "ab" as sub string in one or more places. Interchangethe codes of symbols if there is a gain in the frequency of the string "ab" and this gain should be at most delta and the closeness should not be worse than before(means the new delta value should be less than the previous value, then only we will interchange). If the symbol is participated in this step then it is locked to stop participating further. Repeat this step until there are no symbols to be covered.

Step3: Go for the codes of symbols with same code length and which are having same beginning but not ending code symbols and the string "ab" as sub string in one or more places. Interchangethe codes of symbols if there is a gain in the frequency of the string "ab" and this gain should be at most delta and the closeness should not be worse than before(means the new delta value should be less than the previous value, then only we will interchange). If the symbol is participated in this step then it is locked to stop participating further. Repeat this step until there are no symbols to be covered.

Step4: Go for the codes of symbols with same code length and which are having same ending but not beginning code symbols and the string "ab" as sub string in one or more places. Interchangethe codes of symbols if there is a gain in the frequency of the string "ab" and this gain should be at most delta and the closeness should not be worse than before(means the new delta value should be less than the previous value, then only we will interchange). If the symbol is participated in this step then it is locked to stop participating further. Repeat this step until there are no symbols to be covered.

Step5: Go for the codes of symbols with same code length and which are having different beginning and ending code symbols and the string "ab" as sub string in one or more places. Interchangethe codes of symbols if there is a gain in the frequency of the string "ab" and this gain should be at most

delta and the closeness should not be worse than before(means the new delta value should be less than the previous value, then only we will interchange). If the symbol is participated in this step then it is locked to stop participating further. Repeat this step until there are no symbols to be covered.

Step6: If the string with minimum frequency is "00", then go for the symbol code among the symbol codes ending with code symbol 0 and having the frequency close to delta and extend the code of the symbol with padding code symbol 0 and closeness should not be worse than before (means the new delta value should be less than the previous value). Then go for the next maximum frequency symbol code and apply this step, repeat this step until there is no further improvement in getting closeness.

Step7: If the string with minimum frequency is "11", then go for the symbol code among the symbol codes ending with code symbol 1 and having the frequency close to delta and extend the code of the symbol with padding code symbol 1 and closeness should not be worse than before (means the new delta value should be less than the previous value.) Then go for the next maximum frequency symbol code and apply this step, repeat this step until there is no further improvement in getting closeness.

Step8: If the string with minimum frequency is "01", then go for the symbol code among the symbol codes ending with code symbol 0 and having the frequency close to delta and extend the code of the symbol with padding code symbol 1, if the new value of delta is less than the old value of delta. Then go for the next maximum frequency symbol code and apply this step, repeat this step until there is no further improvement in getting closeness.

Step9:If the string with minimum frequency is "10", then go for the symbol code among the symbol codes ending with code symbol 1 and having the frequency close to delta and extend the code of the symbol with padding code symbol 0, if the new value of delta is less than the old value of delta. Then go for the next maximum frequency symbol code and apply this step, repeat this step until there is no further improvement in getting closeness.

## 3. Case Study:

are:

a = --10,

b----11,

**c---**00

```
OUTPUT 1:
Input message is : aabbccbc
Huffman code is: a----10, b----11, c----0.
The length of the plaintext and strings(cipher
text) are: 8 13
cipher text is:1010111100110
In cipher text frequencies of strings "00", "01",
"10", "11":
(0 \quad 0) ---- 1
(0 1)---- 3
(1 \quad 0) ---- 4
(1 	 1) --- 4
End of padding Algorithm Cipher text is:
    1010111100001100
End of padding Algorithm , in cipher text the
frequencies of strings "00", "01", "10", "11":
(0 \quad 0) ---- 4
(0 	 1) --- 3
(1 \quad 0) ---- 4
(1 \quad 1) ---- 4
End of padding Algorithm Codes of input alphabet
```

For this example in the initial stage the cipher text length is 13 and after making the frequencies of strings "00", "01", "10", "11" close together, the cipher text length is 16.

So, the average code length initially is 13/8 i.e. 1.624.

the average code length after applying padding algorithm is 16/8 i.e.2

## OUT PUT 2:

\_\_\_\_\_

#### INPUT (MESSAGE):

makingtheencodedtextofaninstantaneouscodeasrandomas possiblehereapaddingalgorithmisdesinedwhichtakesany instantaneouscodeasinputandoutputsthecorrespondinge ncodengschemewhichwearelookingfor

#### HUFFMAN CODE:

The length of the plaintext and cipher text are initially(before applying padding algorithm): 186

Average code length initially: 757/186 i.e. 4.067

Cipher text initially(before applying padding
algorithm):

In cipher text the frequencies of strings "00", "01", "10", "11" initially:

- $(0 \quad 0) ----210$
- (0 1) ----185
- $(1 \quad 0) ----185$
- $(1 \quad 1) ----176$

In cipher text the frequencies of strings "00", "01", "10", "11" after applying Padding algorithm:

 (0
 0)
 193

 (0
 1)
 196

 (1
 0)
 195

 (1
 1)
 204

After applying the Padding algorithm , the cipher text is:

100011100011000101101001010000000110001001110001100 100101101101110000000111

Average code length, after applying the Padding algorithm = 788/186 = 4.236

## OUTPUT 3:

#### INPUT (MESSAGE):

thedocumentsdistributedherehavebeenprovidedasameans toensuretimelydisseminationofscholarlyandtechnicalw

orkonanoncommercialbasiscopyrightandallrightstherei naremaintainedbytheauthorsorbyothercopyrightholders notwithstandingthattheyhaveofferedtheirworkshereele ctronicallyitisunderstoodthatallpersonscopyingthisi nformationwilladheretothetermsandconstraintsinvoked byeachauthorscopyrighttheseworksmaynotberepostedwit houttheexplicitpermissionofthecopyrightholdercomple xityandalgorithmsforreasoningabouttimeagraphtheoret icapproachmpaperdealswithproblemsinreasoningaboutsu chintervalswhentheprecisetopologicalrelationshipbet weenthemisunknownonlypartiallyspecifiedthisworkunif iesnotionsofintervalalgebrasinartificialintelligenc ewiththoseofintervalordersandintervalgraphsincombin atorics

#### HUFFMAN CODE:

1000a
101111b
11110
10110d
010е
011110f
111110g
0110h
000i
11111111k
110101
110110m
1110n
1100
01110p
1001r
1010s
001t
011111u
1111110v
101110w
11111110x
110111y

The length of the plaintext and cipher text are initially (before applying padding algorithm): 772 3225

Average code length initially: 3225/772 i.e. 4.177

In cipher text the frequencies of strings "00", "01", "10", "11" initially:

- (0 0)----666
- (0 1) ----843
- $(1 \quad 0) ----843$
- $(1 \quad 1) ----872$

In cipher text the frequencies of strings "00", "01", "10", "11" after applying Padding algorithm:

- $(0 \quad 0) ----811$
- (0 1) ----865
- $(1 \quad 0) ----865$
- (1 1) ----870

Average code length after applying padding algorithm: 3412/772 i.e. 4.42

#### 4. Conclusion

We discussed the padding algorithm achieves the closeness of strings "00", "01", "10", "11". Observe that steps 1 to 9 in padding algorithm are applied to each string. After applying to all once, we can apply again the steps 1 to 9 to get more closeness if possible. This sets trade off between randomness and cost afforded. we could stop the algorithm anywhere when we are satisfied with the achieved closeness about the strings or there is no further improvement in getting closeness about the strings.

The above algorithm is in greedy approach, so there may be effect on further gain in getting closeness by the steps we have taken before.

As we have seen in case study, the average code length is increasing by 5% of the initial average code length on approximate, after applying this padding algorithm.

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