

W. A. SHEWHART'S COLLECTION

R408
WMS

QUALITY CONTROL

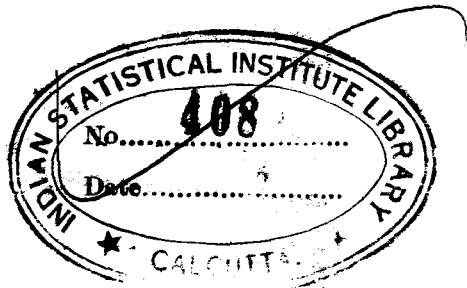
IN

INDUSTRY

by

W. A. Shewhart
Bell Telephone Laboratories

Paper to be presented before a joint session of the Rochester Engineering Society and the Rochester Branch of the ASME on October 16, 1941



sh
SB:658-562
sh 554

Not only

How to detect

but also

How to finger print

the Demons of Chance

SOME PRELIMINARY COMMENTS

Some Definitions

It has been said that:

Physicist is one who has a clean mind and works with dirty things,

Chemist is one who has a dirty mind and works with clean things,

Engineer is one who has a dirty mind and works with dirty things.

I might add:

Mathematician is one who has such a clean mind that he must work only with the abstract symbols of clean things.

"Mathematics is the subject in which one never knows what he is talking about nor if what he says is true".

Not so long ago a well-known physicist defined a mathematical physicist as one who among physicists is considered a mathematician and among mathematicians is considered a physicist. In the same way, it might be said about a mathematical statistician in the engineering field that he is one who among engineers is a mathematician and among mathematicians, is an engineer.

INTRODUCTION

Historical

- . England "Student" (W.S.Gosset). Brewing, about 1900. First company report 1904.
- . Germany Karl Daeves. Metallurgy. First known publication, 1922. I first leanned of Grosszahl-forschung about 1924.
- . United States E.C.Molina. Telephone trunking theory. Malcolm Rorty memo 1903. Molina began internal application 1905; first patent 1906; two important contributions Dec., 1907; publication, 1913.

Contrast

Student	Beer	Error theory and elements of design of experiment	1. Error of the mean. 2. Elements of design of exp.
Daeves	Steel	Causes of variability in metals.	1. Practical importance of evidence of multimodal freq. curves.
Molina	Telephone switching problems	a priori design	1. Telephone trunking theory.
Quality Control	Manufactured articles	Applications in three fundamental steps: specification, production, inspection.	1. Sampling plans to meet consumer and producer risks 2. Operation of statistical control

3. Theory for setting tolerance limits.
4. Criteria for studying variation produced by matter in microscopic and even atomic quantities.
5. General theory and technique for control of manufacturing process as an operation.

Slide #16062 Mass production
upon interchangeability is in its
infancy.

Slide #17802

March of technique in step with:

1. Need for coordinated effort
as in WAR.

1.1 8000 ? .36. Bows and arrows

1.2 1787 Interchangeability (musket)

1.3 1917+ Standardization (World War)

2. March of ideas

2.1 Exact science 1787

2.2 1924 - Probable science
Statistics

Slide _____

Growth of standardization
result of World War #1.



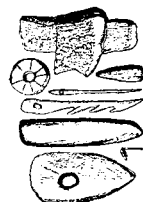

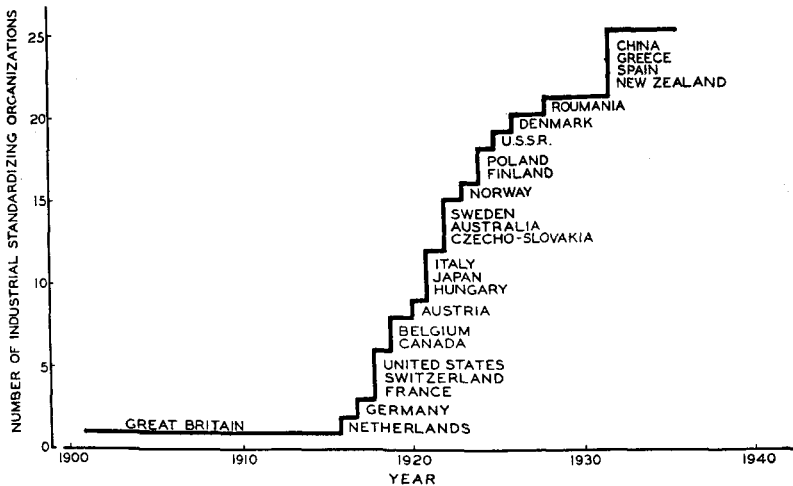
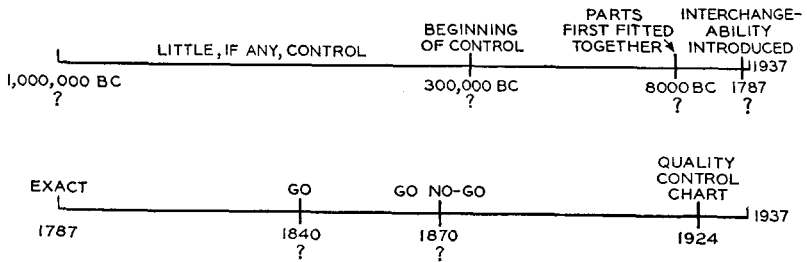
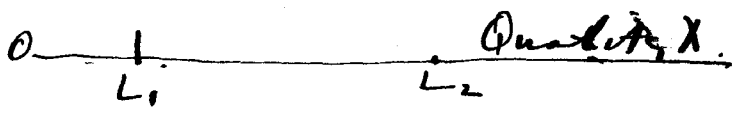
1,000,000 YEARS AGO	150,000 YEARS AGO	10,000 YEARS AGO	150 YEARS AGO
			 INTRODUCTION OF INTERCHANGEABLE PARTS

FIG. 2.

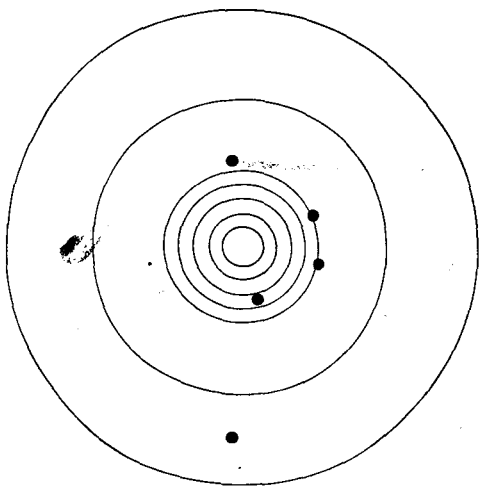


FUNDAMENTAL 14013LEN

Develop an operation that will produce a thing with a quality characteristic that will hit its mark. The Tolerance Range if repeated 1 or n times.



Case of 2 qualities X_1 and X_2
like shooting at a mark



More important yet
Are there any assignable causes present?

is made
is the
method
of shooting

- Case of n quality characteristics

Instead of a circle our model
is an element of volume in
n space

dx_1, dx_2, \dots, dx_n

WHAT DOES STATISTICIAN DO?

1. Helps minimize failures to his target. Rejection
2. Help minimize cost of inspection
3. Help minimize tolerances
 - 3.1 To save material that is scarce.
 - 3.2 To attain a desired quality at any cost as to maximum lifting power of plane.

CONTRIBUTION OF STATISTICS TO SCIENCE OF ENGINEERING

Three Steps in Process of Control	Corresponding three Steps in Scientific Method	Contribution of Statistics
Specification	Hypothesis	Statistical Hypothesis
Prediction	Experiment	Statistical design of experiment
Inspection	Test of hypothesis	Statistical test of Hypothesis

	Exact Science	Statistical Science
Hypotheses	Constant Mathematical limit Mathematical relationship Continuity	Statistical variable Statistical limit Statistical relationship Quantum jump
Design of Experiment	Control all but one variable	Do not attempt to control all but one variable. Instead, measure interaction
Test of Hypothesis	yes - no	Range of action that works in the long run was two sources of error.

FUNDAMENTAL CONCEPT

Mass production = repetitive operation

Let X be a quality characteristic of the thing produced. Sequence of repetitions of an operation gives

$$\{X_i\}_{i=1}^{\infty} = X_1, X_2, \dots, X_n, \dots \quad (1)$$

Desire control of causes of variability in X's.

Repetitive Operation (under same essential conditions)

1. Flipping a coin - heads 0, tails 1.

00101001101.....

Throwing a die.

1, 3, 1, 4, 2,

2. Weighing mass, (measurement of "physical constant")

$X_1, X_2, \dots, X_n, \dots$

FUNDAMENTAL CONTROL HYPOTHESES

Hypothesis I - Some repetitive operations exist in nature that obey mathematical laws of probability.*

Hypothesis II - The maximum attainable degree of validity of prediction** that an operation will give a value X lying within any previously specified tolerance range is that based upon the prior knowledge that the probability of this event is q' or more generally, upon the prior knowledge of the mathematical law of chance underlying the operation.

Hypothesis III - The maximum degree of attainable control*** of the cause system underlying any repetitive operation in the physical world is that wherein the system of causes produces effects in accord with a mathematical law of probability.

Hypothesis IV - Some criterion or criteria may be found and methods developed for their application to the numbers obtained in a sequence of repetitions of any operation such that whenever a failure to meet the criterion or criteria is observed, it is worthwhile to look for and try to remove an assignable cause of variability from the operation. As these causes are removed, a

* For example, drawing from a bowl is such an operation.

** Or, in engineering terms, maximum quality assurance.

*** Hence minimum tolerance limits and most efficient use of materials.

state of statistical control is approached where the results of repetitions of the operation behave in accord with a mathematical law of chance.

It is not the object here to discuss the available evidence supporting these physical hypotheses because that has been done elsewhere, but rather to show the prominent part played by mathematical laws of probability in the fundamental assumptions and to emphasize the point that the testing and use of these hypotheses implies that the engineer must keep his eyes on the physical operation as well as on the mathematics.

1439 book inserted here (6)

CRITERIA OF CONTROL



1. Relative Effects of Causes

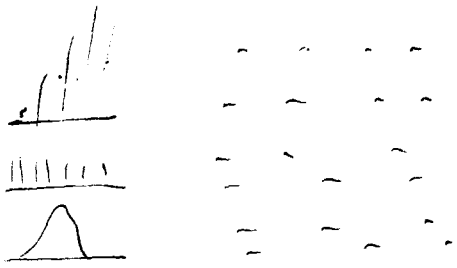
Criteria based upon frequency distribution of variable X in terms of elemental effects of system of m elemental causes in a constant system of chance causes.

If one of the m causes produces a very large effect in comparison with that produced by any one of the (m-1) remaining causes, it may be possible to find and remove it and the presence of such a cause will likely be revealed by bimodality of the distribution.

2. Lack of Constancy in Probability

Criteria based upon order of occurrence in the sequence (1) revealing lack of constancy in the cause system, i.e., lack of constancy in the probability $f(x)dx$.

This may result in multimodality that may be detected and will always modify runs in a way that can likely be detected.



Random order independent of numbers in bowl of all

Prog of the Pudding

Minimize rejection
58836

Minimize cost of inspection

Minimum tolerances
avg. cost 518845

Maximum assurance

sum 16047

Var. of logs 17798

How?

8

5 Steps in control S 21879

you observed values resist
S 7809

you obs. values of resist show
lack of control S 8837

Angel Slide showing importance
of sample of 4 S 22369

Final Nature

Course

2. Need for New Technique of Research

Three difficulties arise when the scale of physical and chemical operations is reduced:

1. New physico-chemical hypotheses
2. New methods of laboratory operations.
3. New techniques for analyzing data and testing hypotheses.

New technique embodies principles, points of view, and objectives that make it differ from classical technique sufficiently to make it a new kind of analysis.

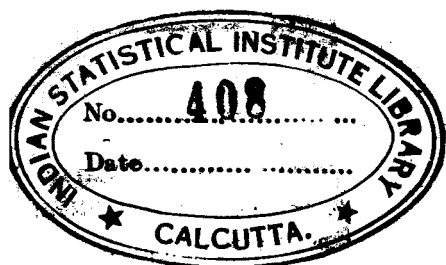
Examples

- a) Newtonian vs. quantum mechanics.
- b) Quantitative vs. microchemical and micro-gas analysis.
- c) Classical statistical criteria ignoring order vs. criteria based on order.

E B Ferris Problem

10

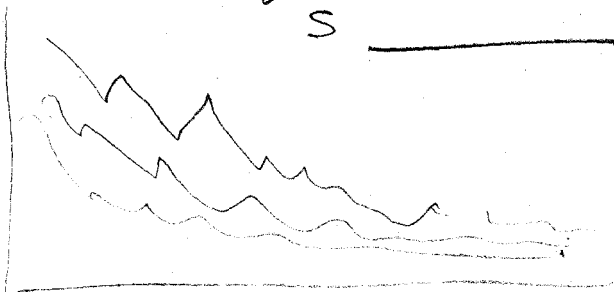
- ① 144 on. values of thickness
521612
- ② Order of drawing vs order when
drawn from a bowl. 521613
- ③ Control chart indicates
assignable cause 521616
- ④ Runs tell us something about
the cause. 521617
521613



Nature of Cause

S _____

Res.



Reading -

Depth	Number of times up and down			Theories
	up -	down -	over -	
1	9	10	8	8
2	9	7	8	8.41
3	2	2	4	3.03
4			1	1.77
5		2		
6				
7	1			

W.E. Campbell

Locates Cause of Trouble

① Problem as stated

one value to
represent resist

② 40 readings on single contact
S 22458

③ 40 random prints
S 22447

④ 40 random - new apparatus
S 22450

⑤ Single Contact S 22452

Design of Experiment 18
Flow Sample terminals
and brushes

S _____

S _____

Non substitute materials.

Jim Finch (Analysis of Variance)

S 22 22

Routine Analysis

S 22 546

Thickness Paper in mils

16

Horizontal Across sheet

Type of Paper	Method of Measurement	B.T.L.				W. Co.				Manning			
		Variance		Residual		Variance		Residual		Variance		Residual	
		Ave.	Areas	Sheets	ual	Ave.	Areas	Sheets	ual	Ave.	Areas	Sheets	ual
.001" Ratchet Dial		:1.28	.0016	.0149*	.002	:1.26	.0007	.0212*	.0014	:1.32	.0072*	.0172*	.0017
		:1.29	.0013	.0151*	.001	:1.29	.0009	.0174*	.0015	:1.29	.0007	.0125*	.0015
.00125" Ratchet Dial		:1.63	.0064	.1135*	.0050	:1.62	.0076	.0987*	.0063	:1.64	.0075	.1250	.0678
		:1.60	.0084	.0958*	.0050	:1.59	.0097	.1068*	.0062	:1.61	.0084	.1101*	.0058
.0015" Ratchet Dial		:1.81	.0071	.0854*	.0040	:1.75	.0093	.0825*	.0057	:1.80	.0075	.0475*	.0052
		:1.80	.0081	.0993*	.0045	:1.80	.0114	.0711*	.0059	:1.80	.0058	.0769*	.0053
.00177" Ratchet Dial		:1.92	.0049	.0053	.0025	:1.91	.0027	.0138*	.0022	:1.99	.0031	.0146*	.0029
		:1.98	.0044	.0137*	.0018	:1.91	.0058	.0138*	.0027	:1.98	.0038	.0144*	.0023
.002" Ratchet Dial		:1.92	.0020	.0080*	.0016	:1.91	.0122*	.0100*	.0025	:1.93	.0128*	.0072	.0034
		:1.91	.0092*	.0072*	.0020	:1.91	.0069*	.0051*	.0021	:1.92	.0081*	.0020	.0022
.0035" Ratchet Dial		:3.66	.0029	.0023	.0030	:3.61	.0057	.0065	.0052	:3.57	.0220*	.0082	.0080
		:3.63	.0057	.0054	.0033	:3.61	.0057	.0085	.0044	:3.66	.0048	.0075	.0043

*Significant difference between areas & sheets as heading indicates

November 14, 1940

Turned over to Mr. Finch
12/4/40

Setting Tolerance Limits

S 16920

S 22021

	Average out off by 40 ranges	Minimum percentage	Max. $\frac{R}{\bar{x}}$
m = 1	.9967	.9923	
m = 30	.9922	.9824	
m = 100	.9732	.8186	

Contrast $m\bar{x} \pm \sqrt{m}ts$ with $m\bar{x}' \pm \sqrt{m}3\sigma'$

CONCLUSION

Statistics + Mass Production = The Tool of Peace

STATISTICAL THEORY PLUS MASS PRODUCTION
PROVIDES A MEANS OF MAXIMIZING OUR
PHYSICAL COMFORTS IN TIME OF PEACE AND
OUR STRATEGIC FACTORS IN TIME OF WAR

About 175 present. Several from Buffalo
and surrounding cities. Very active
discussion.

The Application of Statistical Methods to Industrial Standardization and Quality Control by E. S. Pearson, published by the British Standards Institution, 1935.

Z1.1-1941, Guide for Quality Control

Z1.2-1941, Control Chart Method of Analyzing Data

Published by the American Standards Association.

An Engineer's Manual of Statistical Methods by L. E. Simon, published by John Wiley and Sons, 1941.

